Liberty Research Inc.
P.O. Box 107
State Route 17C
Waverly, NY 14892

## CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

**Date of Violation: March 19-20, 2014**

**9 C.F.R. § 2.131(b)(1)** Handling of animals. (b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

> You failed to ensure that the 43 dogs under order number ORD-C8314 were handled as expeditiously and carefully as possible in a manner that did not cause trauma, overheating, behavioral stress, physical harm, or unnecessary discomfort during transport. The kennels in the trailer were packed four across and two high, and the ventilation openings of the kennels were covered with filtration curtains and/or clear plastic. Upon arrival at their destination, the receiving facility unloading the kennels from the trailer observed heat coming from each kennel. One dog, identified as KZU-2, arrived at its destination highly heat stressed and was euthanized. Another dog, identified as HKU-2, was dead upon arrival. Additional dogs in the shipment also showed signs of stress and were panting. The driver of the vehicle had reportedly observed the animals every 4 hours, but had not identified any animals in distress nor problems relating to the temperature or supply of air for breathing.

**Date of Violation: March 19-20, 2014**

**9 C.F.R. § 3.15(a)** Primary conveyances. The animal cargo space of primary conveyances used to transport dogs and cats must be designed, constructed, and maintained in a manner that at all times protects the health and well-being of the animals transported in them, ensures their safety and comfort, and prevents the entry of engine exhaust from the primary conveyance during transportation.

> You failed to ensure that the trailer used to transport dogs under order number ORD-C8314 was maintained in a manner that at all times protected the health and well-being of the dogs transported in them. Upon arrival at their destination, the receiving facility unloading the kennels from the trailer observed heat coming from each kennel. One

dog died during the transport, a second arrived highly heat stressed and was euthanized, and additional dogs showed signs of stress and were panting.

The penalty for the alleged violation(s) described above is $5,250.